

Report

Report subject: Corporate Risk Register

Report to: Audit Committee

Date: 16 January 2006

Author: Risk Management Group

1 Introduction

1.1 The Audit Committee has agreed that it should receive the latest risk register reported to Cabinet to allow it to monitor the effectiveness of the risk management process in the council.

2 Latest Risk Registers

2.1 At their November meeting the Cabinet received a copy of the corporate risk register as part of the Performance Monitoring report. A copy of this risk register is attached. The risk scores reflect the updated risk management policy. A copy of the risk map showing the residual risks against the council's risk appetite is also attached.

2.2 Cabinet are in the process of approving portfolio plans for 2007/8. Each of these contain a risk register. Once these are approved they can also be provided to this Committee.

3 Recommendation

3.1 The Audit Committee is asked to note the risk register.



Awarded in:
Housing Services
Waste and Recycling Services



Salisbury District Council Risk Register

Date Last Updated: 3/11/06

No.	Type of Risk	Impact	Likelihood	Category	Risk Source	Owner	Response	Action	Residual Status	Updated
1	Failure to meet financial challenge	5	4	Financial, reputational, political	Cabinet	Cabinet / MT	Treat	Medium Term Financial Strategy to be reviewed at Nov Cabinet	2 / 2	03-Nov-06
2	Failure to put in place resources to meet the Council's objectives (capacity)	4	4	Political, reputational	Cabinet	Cabinet / MT	Treat	Although measures in place to support capacity, the Integrated Improvement Programme is reaching the peak of workload and the council will need to be alive to the risk of unforeseen demands causing a problem with delivery of major projects.	3 / 3	03-Nov-06
3	Failure to secure adequate investment to maintain the Council's housing stock to the quality level desired by tenants	5	5	Financial, Reputational, people	Cabinet	HM	Treat	Stock transfer ballot of tenants scheduled for Quarter 3.	4 / 4	03-Nov-06
4	Failure to meet targets projected from policies put in place to secure affordable housing	3	3	Reputational, political people	Cabinet	SHS	Tolerate	Failure to secure any affordable homes in Quarter 1. Should be offset during remainder of year	2 / 2	03-Nov-06
5	Failure to meet targets for diverting household waste from landfill	4	5	Reputational, political	Cabinet	HES	Treat	Strategy agreed to increase % of household waste diverted from landfill.	2 / 1	03-Nov-06
6	Failure to meet objectives of Salisbury Transport Plan	3	2	Reputational, political, people & financial	Cabinet	HFPT	Treat	Predicted shortfall in income has decreased from Quarter 1, officers preparing strategy for future management of car parking.	3 / 2	03-Nov-06

7	Failure of Office Project / Customer Contact Centre	3	4	Financial, reputational	Cabinet	MT	Treat	Project out to tender	2 / 2	03-Nov-06
8	Deterioration in community safety	3	2	Reputational, political, people	Cabinet	HCI	Tolerate	Policies in place to improve community perception of safety. Impact of new alcohol licensing arrangements need to be watched.	2 / 1	03-Nov-06
9	Significant unintentional deterioration in levels of performance, effectiveness, quality or efficiency.	4	2	Reputational, political, people	Cabinet	MT	Treat	Some improvement from Quarter 1.	2 / 2	03-Nov-06
10	Failure to engage with partner and community in process of identifying investment priorities.	4	2	Reputational, financial, people	Cabinet	MT	Treat	SWSA reviewing its remit.	2 / 1	03-Nov-06
11	Failure to meet external requirements on the quality, effectiveness and economy of council services	3	2	Reputational, political, people	Cabinet	MT	Treat	Arrangements in train to identify suitable external accreditation arrangements and to meet the challenge posed by CPA.	2 / 1	03-Nov-06
12	Failure to improve the City Centre leading to reduced business, employment, tourist and cultural activity.	4	3	Financial, reputational, political	Cabinet	MT	Treat	Options appraisal subject of consultation in Quarter 2. Report on results to Cabinet in Quarter 3.	3 / 3	03-Nov-06

Key to Impact and Likelihood Scores:

Impact		Likelihood	
5	Critical	5	Almost certain
4	Major	4	Highly likely
3	Significant	3	Likely
2	Moderate	2	Possible
1	Minor	1	Rare

Residual Risks plotted against Risk Appetite

